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UTILITY PATENT APPLICATION TRANSMITTAL

| Attorney Docket No. | 068354.1395 Randy L. Yach Low Capacitance ESD | | |
|------------------------|---|--|--|
| First Inventor | | | |
| Title | | | |
| Express Mail Label No. | EV339225100US | | |

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| (Only for new nonprovisional applications under 37 CFX 1.33(b)) | Express Mail Label No. 2 1 3 3 2 2 3 1 3 3 3 | | | | | | |
|--|---|--|--|--|--|--|--|
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450 | | | | | | |
| Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) Applicant claims small entity status. See 37 CFR 1.27. Specification [Total Pages 16] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure | 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Form (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. Paper c. Statements verifying identity of above copies ACCOMPANYING APPLICATION PARTS 9. Assignment Papers (cover sheet & document(s)) | | | | | | |
| 4. Drawing(s) (35 U.S.C. 113) [Total Sheets3] | 10. To 37 CFR 3.73(b) Statement Power of | | | | | | |
| 5. Oath or Declaration [Total Sheets 2] a. Newly executed (original or copy) | (when there is an assignee) Attorney 11. English Translation Document (if applicable) 12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations | | | | | | |
| b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) | 13. Preliminary Amendment 14. Return Receipt Postcard (MPEP 503) | | | | | | |
| i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). Application Data Sheet. See 37 CFR 1.76 | (Should be specifically itemized) 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. Other: Ck #879290-\$914.00 Filing Fee | | | | | | |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: | | | | | | | |
| Continuation Divisional Continuation-in-part (CIP) of prior application No.: Prior application information: Examiner For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box | | | | | | | |
| The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | | | | | | | |
| 19. CORRESPONDENCE ADDRESS | | | | | | | |
| Customer Number: 023640 | OR Correspondence address below | | | | | | |
| Name PAUL N. KATZ, Reg. No. 35,917 | | | | | | | |
| Address | | | | | | | |
| City | State Zip Code | | | | | | |
| Country U.S.A. 7 | elephone 713.229.1343 Fax 713.229.7743 | | | | | | |
| Name (Print/Type) PAUL N. KATZ Registration No. (Attorney/Agent) 35,917 | | | | | | | |
| Signature Pand M. Kat Pebruary 26, 2004 | | | | | | | |

This collection of information is required by 37 CFR 1.33(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

022604

CLIENT REFERENCE: MTI-2092.US.0.

CERTIFICATE OF MAILING VIA EXPRESS MAIL

PURSUANT TO 37 C.F.R. § 1.10, I HEREBY CERTIFY THAT I HAVE A REASONABLE BASIS FOR BELIEF THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS EXPRESS MAIL "POST OFFICE TO ADDRESSEE," AND IS ADDRESSED TO:

MAIL STOP PATENT APPLICATION

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ON FEBRUARY 26, 2004.

PAUL N. KATZ

REG. No. 35,917

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FILING DATE:

FEBRUARY 26, 2004

APPLICANT:

RANDY L. YACH.

GROUP ART UNIT:

TO BE ASSIGNED

EXAMINER:

TO BE ASSIGNED

ATTORNEY DOCKET NO.

068354.1395

TITLE:

"LOW CAPACITANCE ESD-PROTECTION STRUCTURE UNDER A

BOND PAD"

INCLUDED IN THIS MAILING FOR THE ABOVE-REFERENCED PATENT APPLICATION ARE:

- 1. NEW APPLICATION TRANSMITTAL LETTER;
- 2. PATENT APPLICATION TRANSMITTAL (PTO/SB/05);
- 3. PATENT APPLICATION (16 PAGES), INCLUDING COVER PAGE;
- 4. THREE (3) SHEETS FORMAL DRAWINGS;
- 5. FEE TRANSMITTAL FORM (PTO/SB/17), WITH DUPLICATE COPY FOR FEE PROCESSING;
- 6. CHECK #879290 THE AMOUNT OF \$914.00 FOR FILING FEE AND EIGHT (8) ADDITIONAL CLAIMS;
- 7. EXECUTED DECLARATION;
- 8. EXECUTED POWER OF ATTORNEY;
- 9. EXECUTED ASSIGNMENT, WITH COVER PAGE, (INCLUDING DUPLICATE COPY OF COVER PAGE FOR FEE PROCESSING);
- 10. CHECK #879287 THE AMOUNT OF \$40.00 FOR THE ASSIGNMENT RECORDATION FEE; AND
- 11. RETURN POSTCARD TO ACKNOWLEDGE RECEIPT OF ABOVE ITEMS.

ATTORNEY CONTACT:

PAUL N. KATZ

REG. No. 35,917

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023640



Attorney Docket No.:

068354.1395

Express Mail Label No.:

EV339225100US

Date of Deposit with Express Mail: 02/26/2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING VIA EXPRESS MAIL 37 C.F.R. §1.10

PATENT

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EV339225100US

MAIL STOP PATENT APPLICATION **Honorable Commissioner for Patents** P. O. Box 1450 Alexandria, VA 22313-1450

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is a patent application of:

Inventor:

Randy L. Yach

16238 S. 25th Street Phoenix, AZ 85048

For:

"Low Capacitance ESD-Protection Structure Under A Bond Pad"

This new application is an original (nonprovisional) patent application.

Enclosed are:

- (a) One (1) Cover Page
- (b) Nine (9) total pages of Specification (pages 1-9) (including Background, Summary, and Description of the Drawings);
- (c) Five (5) pages of Claims (pages 10-14);
- (d) One (1) page Abstract of the Disclosure (page 15);

(e) Three (3) sheets of formal drawings.

This application is submitted with an executed Declaration and an executed Power of Attorney.

This application is submitted with an executed Assignment and Recordation cover sheet, with duplicate copy of the cover sheet for fee processing.

This application is submitted with a Fee Transmittal Form (PTO/SB/17), with duplicate copy for fee processing.

| CLAIMS FOR FEE CALCULATION | | | | | | | |
|----------------------------|----------|--------|-----------------|-----------|-----------------------|--|--|
| | Actual | Number | Number Extra | Rate | Basic Fee \$770.00 | | |
| Total Claims: | 28 | - 20 = | 8 | x \$18. = | 144.00 | | |
| Independent Claims: | 3 | -3 = | 0 | x \$86 = | 0.00 | | |
| | \$914.00 | | | | | | |

Included is check #879290 the amount of \$914.00 for the filing fee and additional total claims.

Also included is check #879287 the amount of \$40.00 for the Assignment Recordation Fee.

A return receipt postcard is also included to acknowledge receipt of the above-named items.

In the event of underpayment or overpayment for the filing of this application, the Commissioner is hereby authorized to debit additional fees from, or to credit any overpayments to, Baker Botts L.L.P. Deposit Account No. 02-0383, Order No. 068354.1395.

Please direct all correspondence to:

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Facsimile:

713.229.7743

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Respectfully submitted,

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By:

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DATE: February 26, 2004 EMail: Paul.Katz@bakerbotts.com ATTORNEY FOR APPLICANTS

Attorney Docket No.: 068354.1395

EXPRESS MAIL: EV339225100US

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE collection of information unless it displays a valid OMB control number. Under the Paperwork Reduction Act of 1995, no persons are required to respond Complete if Known FEE TRANSMITTAL Application Number February 26, 2004 for FY 2004 Filing Date Randy L. Yach First Named Inventor Effective 10/01/2003. Patent fees are subject to annual revision. **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 Art Unit TOTAL AMOUNT OF PAYMENT (\$) 914 & \$40 068354.1395 Attorney Docket No. METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) 3. ADDITIONAL FEES Money Credit card Other None ✔ Check Large Entity , Small Entity ✓ Deposit Account: Fee **Fee Description** Deposit Code (\$) Code (\$) Fee Paid 02-0383 Account 2051 1051 130 65 Surcharge - late filing fee or oath Number Deposit Surcharge - late provisional filing fee or 2052 1052 50 25 Baker Botts L.L.P. Account cover sheet Name 130 Non-English specification 1053 130 1053 The Director is authorized to: (check all that apply) 1812 2,520 For filing a request for ex parte reexamination 1812 2,520 Credit any overpayments Charge fee(s) indicated below Requesting publication of SIR prior to 1804 920 1804 920* Charge any additional fee(s) or any underpayment of fee(s) Charge fee(s) indicated below, except for the filing fee Requesting publication of SIR after 1805 1,840 1805 1,840* Examiner action to the above-identified deposit account. 2251 Extension for reply within first month 1251 FEE CALCULATION Extension for reply within second month 1252 2252 210 420 1. BASIC FILING FEE 1253 950 2253 Extension for reply within third month Large Entity Small Entity Fee Paid Fee Description 1254 1,480 2254 Extension for reply within fourth month Code (\$) ode (\$) 1,005 Extension for reply within fifth month 2255 1255 2,010 1001 770 2001 385 Utility filing fee 770.00 2401 1401 330 165 Notice of Appeal 2002 170 Design filing fee 1002 340 1402 330 2402 165 Filing a brief in support of an appeal 1003 530 2003 265 Plant filing fee 145 Request for oral hearing 2403 1403 290 1004 770 2004 385 Reissue filing fee 1451 1.510 1451 1,510 Petition to institute a public use proceeding Provisional filing fee 1005 160 2005 55 Petition to revive - unavoidable 1452 110 2452 SUBTOTAL (1) (\$) 770.00 2453 1453 1,330 665 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1.330 2501 665 Utility issue fee (or reissue) Fee from Fee Paid 1502 Extra Claims 480 2502 240 Design issue fee below x 18 144 **Total Claims** -20** = B 2503 320 Plant issue fee 1503 640 Independent x 84 0.00 1460 130 1460 130 Petitions to the Commissioner Multiple Dependent 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) Small Entity Large Entity 180 Submission of Information Disclosure Stmt 180 1806 1806 Fee Fee Code (\$) Fee Description 40 Recording each patent assignment per Code (\$) 40.00 8021 40 8021 property (times number of properties) Claims in excess of 20 1202 18 2202 385 Filing a submission after final rejection 1809 770 2809 1201 86 2201 43 Independent claims in excess of 3 (37 ČFR 1.129(a)) 385 For each additional invention to be examined (37 CFR 1.129(b)) Multiple dependent claim, if not paid 1203 290 2203 145 1810 770 2810 ** Reissue independent claims 1204 2204 86 43 2801 385 Request for Continued Examination (RCE) over original patent 1801 770 1802 900 1802 900 Request for expedited examination ** Reissue claims in excess of 20 2205 1205 18 9 and over original patent of a design application Other fee (specify) $|(\$)|^{144.00}$ SUBTOTAL (2) *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40.00 **or number previously paid, if greater; For Reissues, see above (Complete (if applicable)) SUBMITTED BY Registration No. Telephone 713.229.1343 PAUL N. KATZ 35,917 Name (Print/Type)

Signature WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

February 26, 2004